

# UK IT SECURITY EVALUATION AND CERTIFICATION SCHEME



122-B

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CB/34935/UKSP03

## UK CB STANDARD CERTIFICATION WORK PROGRAMME FOR ASSURANCE MAINTENANCE

Issue 2.0

This CWP outlines the certification work to be performed by the Certification Body (CB) for *Assurance Maintenance*. The CWP may be refined during the course of the certification.

Certification Service	Certification Activities	Inputs to Certification Activity
Task Startup Review	<ul style="list-style-type: none"> <li>Familiarise with the <i>previous</i> Evaluation and Certification documentation.</li> <li>Familiarise with the TOE Scope and previous Certification recommendations.</li> </ul>	<ul style="list-style-type: none"> <li><i>Previous</i> Evaluation and Certification documentation, covering at least: Security Target, Design, Vulnerability Analysis, Test Scripts &amp; Results, Evaluation Technical Report, Certification Report and Certificate.</li> </ul>
Security Target Review	<ul style="list-style-type: none"> <li>Review &amp; approve the draft Security Target (ST); confirming that there are only <i>Minor</i> changes with respect to the Security Target of the Certified TOE.</li> </ul>	<ul style="list-style-type: none"> <li>Draft Security Target.</li> </ul>
Impact Analysis Report Review	<ul style="list-style-type: none"> <li>Review &amp; approve the draft Impact Analysis Report (IAR); confirming that all of the change categorisations are <i>Minor</i>.</li> <li>Review selected updated deliverables to confirm that all security relevant changes are <i>Minor</i>.</li> <li>Review selected websites to confirm that there are no obvious new vulnerabilities remaining.</li> <li>Address any issues raised by the Sponsor.</li> </ul>	<ul style="list-style-type: none"> <li>Draft Impact Analysis Report.</li> <li>Any updated Evaluation documentation, covering at least: Security Architecture, Vulnerability Analysis, Test Scripts &amp; Results, Configuration List and Operational Guidance.</li> </ul>
Certification Review	<ul style="list-style-type: none"> <li>Review &amp; issue the final Security Target.</li> <li>Review the final Impact Analysis Report.</li> <li><i>Either</i> review &amp; approve <i>or</i> produce &amp; agree the draft Maintenance Report (MR).</li> <li>Review &amp; issue the final MR.</li> <li>Record the rationale for all decisions.</li> <li>Produce &amp; agree the Maintenance Certificate.</li> <li>Publish the Maintenance Addendum, including the final ST &amp; MR, within the <i>Certified</i> entry on the CESG website (if mutual recognition is required).</li> <li>Inform CC <i>Evaluated Products</i> portal of the above Maintenance Addendum (if mutual recognition is required).</li> </ul>	<ul style="list-style-type: none"> <li>Final Security Target addressing CB review.</li> <li>Final Impact Analysis Report (or IAR Supplement) addressing CB review.</li> <li>Draft Maintenance Report. (Optional)</li> <li>Final MR addressing CB and Sponsor reviews.</li> </ul>

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### Notes:

*To enable the Certification Body to complete its review activities, evaluation deliverables in soft copy form, should be supplied as input for information. The Certifier will confirm whether any deliverables, additional to those identified above, are required for information on a case-by-case basis, depending on the assurance level and technology involved.*

*Reviews may take many forms, normally a review of the documentation provided under "Inputs to Certification Activity", with a response by email or phone. Reviews may involve participation in a teleconference.*

*The scope of a review, and whether the review is applicable, is at the discretion of the Certifier.*

*If the Certification Body is unable to approve part of a Certification Activity, then it may require one or more reviews to be repeated.*

*Stable draft documentation, in soft copy form, is normally acceptable to support the above Certification Activities.*

*If you have any comments or queries on this CWP for Assurance Maintenance, please email or phone the Certifier.*

*The Sponsor is responsible for alerting the Certifier to any unresolved issue that may affect Certification.*

*A non-security related change is usually irrelevant to Assurance Maintenance and hence such a change does not need to be discussed in detail in the Impact Analysis Report. Therefore any non-security related change requires only a summary description and the security impact should be categorised as 'None'.*