

# UK IT SECURITY EVALUATION AND CERTIFICATION SCHEME



122-B

20 November 2007  
CB/30515/UKSP02

## UK CB STANDARD CERTIFICATION WORK PROGRAMME

Issue 1.0

This CWP outlines the certification work to be performed by the Certification Body (CB). The CWP may be refined during the course of the evaluation.

Certification Service	Certification Activities	Inputs to Certification Activity
Task Startup Review	<ul style="list-style-type: none"> <li>Review &amp; approve TOE Scope.</li> <li>Review &amp; approve Evaluation Work Programme (EWP).</li> <li>Arrange Task Startup Meeting to discuss definition and scope of the TOE.</li> </ul>	<ul style="list-style-type: none"> <li>TOE Scope Information, e.g. draft Security Target (ST).</li> <li>EWP.</li> </ul>
Security Target Review	<ul style="list-style-type: none"> <li>Review &amp; approve ST and its suitability.</li> <li>Review &amp; approve ASE activity report.</li> <li>Agree &amp; publish <i>In Evaluation</i> entry on CESG website (if required).</li> </ul>	<ul style="list-style-type: none"> <li>ST.</li> <li>ASE evaluation activity report.</li> <li>Product Details summary for CESG website.</li> </ul>
Evaluation Progress Reviews	<ul style="list-style-type: none"> <li>Review &amp; approve CLEF's proposed sampling strategy for tests.</li> </ul>	<ul style="list-style-type: none"> <li>CLEF's proposed sampling strategy for tests.</li> </ul>
	<ul style="list-style-type: none"> <li>Review &amp; approve CLEF's plans for independent functional and penetration testing.</li> </ul>	<ul style="list-style-type: none"> <li>CLEF's proposed test strategy, proposed test configuration(s) and (draft) test scripts.</li> <li>Related evaluation activity reports.</li> </ul>
	<ul style="list-style-type: none"> <li>Review &amp; approve conduct of penetration testing.</li> </ul>	<ul style="list-style-type: none"> <li>Attendance at Penetration Tests (if performed in UK).</li> </ul>
	<ul style="list-style-type: none"> <li>Review &amp; approve other technical aspects.</li> </ul>	<ul style="list-style-type: none"> <li>Related evaluation activity reports.</li> <li>Related evaluation deliverables, e.g. delivery procedures and guidance documentation.</li> </ul>
	<ul style="list-style-type: none"> <li>Address any other technical issues, e.g. any unresolved ORs.</li> </ul>	<ul style="list-style-type: none"> <li>Any other issues raised by CLEF or Sponsor, including unresolved ORs.</li> </ul>
	<ul style="list-style-type: none"> <li>Review &amp; approve draft ETR.</li> </ul>	<ul style="list-style-type: none"> <li>Draft ETR.</li> <li>Selected evaluation deliverables.</li> </ul>
Certification Review	<ul style="list-style-type: none"> <li>Review &amp; approve draft Certification Report (CR).</li> <li>Produce &amp; issue final CR.</li> <li>Produce &amp; issue Certificate.</li> <li>Publish ST &amp; CR as <i>Certified</i> entry on CESG website (if mutual recognition required).</li> <li>Inform CC <i>Evaluated Products</i> portal of TOE identity, ST &amp; CR (if mutual recognition required).</li> </ul>	<ul style="list-style-type: none"> <li>Final ETR or ETR Supplement addressing CB review points.</li> <li>Draft(s) of CR as appropriate, addressing CB and Sponsor review points.</li> </ul>

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### Notes:

*To enable the Certification Body to complete its review activities, selected evaluation deliverables and extracts from the ETR, in soft copy form, should be supplied as input for information. This may normally include the functional specification, high level design, delivery procedures and guidance documentation. The Certifier will confirm whether any additional deliverables are required for information on a case-by-case basis, depending on the assurance level and technology involved.*

*Reviews may take many forms, normally a review of the documentation provided under "Certification Inputs", with a response by email or phone. Reviews may involve CLEF visits (for example, penetration testing) or the evaluators may be required to attend meetings at CESG or participate in a teleconference.*

*The scope of a review, and whether the review is applicable, is at the discretion of the Certifier. For example, a re-evaluation may not require some of the Evaluation Progress Reviews.*

*If the Certification Body is unable to approve part of an evaluation, then it may require one or more reviews to be repeated.*

*Stable draft documentation, in soft copy form, is normally acceptable to support the above Certification Activities.*

*If you have any comments or queries on this CWP, please email or phone the Certifier or Deputy Certifier.*

*The CLEF is responsible for alerting the Certifier to any unresolved issue that may affect Certification.*

*This UK CB Standard CWP will eventually be incorporated in an update to UKSP 02.*